Streamlined Annual PHA Plan (HCV Only PHAs)

U.S. Department of Housing and Urban Development Office of Public and Indian Housing

OMB No. 2577-0226 Expires 02/29/2016

Purpose. The 5-Year and Annual PHA Plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission, goals and objectives for serving the needs of low- income, very low- income, and extremely low- income families

Applicability. Form HUD-50075-HCV is to be completed annually by **HCV-Only PHAs**. PHAs that meet the definition of a Standard PHA, Troubled PHA, High Performer PHA, Small PHA, or Qualified PHA do not need to submit this form. Where applicable, separate Annual PHA Plan forms are available for each of these types of PHAs.

Definitions.

- (1) High-Performer PHA A PHA that owns or manages more than 550 combined public housing units and housing choice vouchers, and was designated as a high performer on both of the most recent Public Housing Assessment System (PHAS) and Section Eight Management Assessment Program (SEMAP) assessments if administering both programs, or PHAS if only administering public housing.
- (2) Small PHA A PHA that is not designated as PHAS or SEMAP troubled, or at risk of being designated as troubled, that owns or manages less than 250 public housing units and any number of vouchers where the total combined units exceeds 550.
- (3) Housing Choice Voucher (HCV) Only PHA A PHA that administers more than 550 HCVs, was not designated as troubled in its most recent SEMAP assessment, and does not own or manage public housing.
- (4) **Standard PHA** A PHA that owns or manages 250 or more public housing units and any number of vouchers where the total combined units exceeds 550, and that was designated as a standard performer in the most recent PHAS and SEMAP assessments.
- (5) Troubled PHA A PHA that achieves an overall PHAS or SEMAP score of less than 6(percent.
- (6) Qualified PHA A PHA with 550 or fewer public housing dwelling units and/or housing choice vouchers combined, and is not PHAS or SEMAP troubled.

Α.	PHA Information.		10)	10		
A.1	PHA Name: Fort Smith Houring Authority PLA rour. AR003 PHA Plan for Fiscal Year Beginning: (MM/YYYY) PHA Inventory (Based on Annual Contributions for raid (CC) units at time of rar beginning, allove, Number of Housing Choice Vouchers (HCVs) PHA Plan Submission Type: Annual Submission Revised in this form PHAs must have the elements listed below readily available to the public. A PHA must identify the specific locations, where the proposed PHA Plan, PHA Plan Plan Plan Plan Plan are available, for inspection by the public Additionally, the PHA must provide information on how the public may reasonably obtain additional information of the PHA polities contained in the standard Annual Plan, but excluded from their streamlined submissions. At a minimum, PHAs must post PHA Plans, reduding update, at the main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on the information appears to the public. PHA Consortia: (Check box if submitting a joint Plan and complete table below)					
	Program(s) not in the				No. of Units in Each Program	
	Lead HA:					

B.	Annual Plan.
B.1	Revision of PHA Plan Elements. (a) Have the following PHA Plan elements been revised by the PHA since its last Annual Plan submission? Y N
,	 Housing Needs and Strategy for Addressing Housing Needs. Deconcentration and Other Policies that Govern Eligibility, Selection, and Admissions. Financial Resources. Rent Determination. Operation and Management. Informal Review and Hearing Procedures. Homeownership Programs. Self Sufficiency Programs and Treatment of Income Changes Resulting from Welfare Program Requirements. Substantial Deviation. Significant Amendment/Modification.
	(b) If the PHA answered yes for any element, describe the revisions for each element(s):
	n/a
B.2	New Activities (a) Does the PHA intend to undertake any new activities related to the following in the PHA's current Fiscal Year?
	Y N Project Based Vouchers.
	(b) If this activity is planned for the current Fiscal Year, describe the activities rr, vive the projected number of project-based units and general locations, and describe how project-basing would be consistent with the L ^U A Plan.
	The PHA has one existing development, one future development in progress (beginning construction) and the totwo future developments it is considering attaching project-based voucher (PBV) assistance over the next 1-3 years. The development that is in progress is locally in just north of Garrison Avenue (as many as 8 PBV could be attached) and north of Kelley Highway (as many 17 8 70 PBVs could be attached) and north of Kelley Highway (as many 17 8 70 PBVs could be attached) nents). Our PHA plan allows for up to 20% of our HCV program to be utilized as PBV (331 vouchers), and we would remain under the 20% if the potential activities listed were completed.
B.3	Most Recent Fiscal Year Audit. (a) Were there any findings in the most recent FY Audit? Y N N/A \rightarrow (b) If yes, please describe:
	n/a
B.4	Civil Rights Certification Form HUD-50077 PHA Certifications of C impli ince with the PHA P is and Related Regulations, must be submitted by the PHA as an electronic
	attachment to the PHA Plan.
B.5	Certification by State or Local Officials. Form HUD 50077-SL Certification by State or Local Officials of PHA Plans Consistency with the Consolidated Plan, must be submitted by the PHA as an electronic attachment to the PHA Plan.
B.6	Progress Report. Provide a description of the PHA's progress in meeting its Mission and Goals described in its 5-Year PHA Plan.
	Goal: Expand the supply of assisted housing Progress: PHA has a continued partnership with a local community action agency to assist building 6-10 new single-family homes per year, these homes are sold to low-income home buyers. In 2020 the PHA was approved for funding to construct a 10-unit assisted development, the construction for this development is scheduled to begin in October 2020 with lease up starting in 2021. Goal: Improve voucher management and customer satisfaction Progress: The PHA has added several a la carte options on the new enterprise software to better administer the HCV program. These options have been or are in the process of being implemented to increase overall customer satisfaction and streamline the application process for future applicants.
B. 7	Resident Advisory Board (RAB) Comments.
	(a) Did the RAB(s) provide comments to the PHA Plan? Y N V
	(a) If yes, comments must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the RAB recommendations and the decisions made on these recommendations.
	n/a

Instructions for Preparation of Form HUD-50075-HCV Annual PHA Plan for HCV Only PHAs

A.	PHA	A Information. All PHAs must complete this section. (24 CFR §903.23(4)(e))
	A.1	Include the full PHA Name, PHA Code, PHA Type, PHA Fiscal Year Beginning (MM/YYYY), Number of Housing Choice Vouchers (HCVs), PHA Plan Submission Type, and the Availability of Information, specific location(s) of all information relevant to the public hearing and proposed PHA Plan.
		PHA Consortia: Check box if submitting a Joint PHA Plan and complete the table. (24 CFR §943.128(a))
B.	Ann	ual Plan. All PHAs must complete this section. (24 CFR §903.11(c)(3))
	B.1	Revision of PHA Plan Elements. PHAs must:
		Identify specifically which plan elements listed below that have been revised by the PHA. To specify which elements have been revised, mark the "yes" box. If an element has not been revised, mark "no."
		Housing Needs and Strategy for Addressing Housing Needs. Provide a statement addressing the housing needs of low-income, very low-income families who reside in the PHA's jurisdiction and other families who are on the Section 8 tenant-based waiting list. The statement must identify the housing needs of (i) families with incomes below 30 percent of area median income (extremely low-income), (ii) elderly families and families with disabilities, and (iii) households of various races and ethnic groups residing in the particular provided by the applicable Consolidated Plan, information provided by HUD, and other tenerally variable data. The plentification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. (24 CFR §903.7(a)(1) and 24 CFR §903.7(a)(2)(ii). Provide a description of the PHA's strategy for addressing the housing needs of families in the jurison tion and on the writing list in the upcoming year. 24 CFR §903.7(a)(2)(iii)
		Deconcentration and Other Policies that Govern Eligibility Selection, and Advis. 'ons.' A statemen' of the PHA's policies that govern resident or tenant eligibility, selection and admission including admission, preferences for HCV. (24 CFR §903.7(b))
		Financial Resources. A statement of financial resources in cluding a listin of y general categories, with PHA's anticipated resources, such as PHA HCV funding and other anticipated Federal resources available to the PAA, is well as tenant rents and other income available to support tenant-based assistance. The statement also should include the non-leaderal sources of financial resources. (24 CFR §903.7(c))
		Rent Determination. A statement of the policies of the PHA governing rental confributions of families receiving tenant-based assistance, discretionary minimum tenant rents, and p yment standard policies. (24 CFR §902_104)
		Operation and Managemen A statement that includes a description of PHA management organization, and a listing of the programs administered by the PHA. (24 CFR §903.7(e)(3) 4)).
		☐ Informal Review and Hearing Procedures A Lacription of the informal hearing and review procedures that the PHA makes available to its applicants. (24 CFR §903.7(f))
		☐ Homeownership Programs. A statement describing any some wnership programs (including project number and unit count) administered by the agency under section 8y of the 1937 Act, or for which the PHA has applied or will apply for approval. (24 CFR §903.7(k))
		Self Sufficiency Programs and Treatment of Incon. Changes Resulting from Welfare Program Requirements. A description of any PHA programs relating to services and amenities coordinated, promoted, or provided by the PHA for assisted families, including those resulting from the PHA's partnership with other entities, for the enhancement of the economic and social self-sufficiency of assisted families, including programs provided or offered as a result of the PHA's partnerships with other entities, and activities under section 3 of the Housing and Community Development Act of 1968 and under requirements for the Family Self-Sufficiency Program and others. Include the program's size (including required and actual size of the FSS program) and means of allocating assistance to households. (24 CFR §903.7(I)(i)) Describe how the PHA will comply with the requirements of section 12(c) and (d) of the 1937 Act that relate to treatment of income changes resulting from welfare program requirements. (24 CFR §903.7(I)(iii)).
		☐ Substantial Deviation. PHA must provide its criteria for determining a "substantial deviation" to its 5-Year Plan. (24 CFR §903.7(r)(2)(i))
		☐ Significant Amendment/Modification . PHA must provide its criteria for determining a "Significant Amendment or Modification" to its 5-Year and Annual Plan. Should the PHA fail to define 'significant amendment/modification', HUD will consider the following to be 'significant amendments or modifications': a) changes to rent or admissions policies or organization of the waiting list; or b) any change with regard to homeownership programs. See guidance on HUD's website at: Notice PIH 1999-51. (24 CFR §903.7(r)(2)(ii))
		If any boxes are marked "yes", describe the revision(s) to those element(s) in the space provided.
	B.2	New Activity. If the PHA intends to undertake new activity using Housing Choice Vouchers (HCVs) for new Project-Based Vouchers (PBVs) in the current Fiscal Year, mark "yes" for this element, and describe the activities to be undertaken in the space provided. If the PHA does not plan to undertake this activity, mark "no." (24 CFR §983.57(b)(1) and Section 8(13)(C) of the United States Housing Act of 1937.

project-based units and general locations, and describe how project-basing would be consistent with the PHA Plan.

☐ Project-Based Vouchers (PBV). Describe any plans to use HCVs for new project-based vouchers. If using PBVs, provide the projected number of

- **B.3** Most Recent Fiscal Year Audit. If the results of the most recent fiscal year audit for the PHA included any findings, mark "yes" and describe those findings in the space provided. (24 CFR §903.11(c)(3), 24 CFR §903.7(p))
- **B.4 Civil Rights Certification.** Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulation*, must be submitted by the PHA as an electronic attachment to the PHA Plan. This includes all certifications relating to Civil Rights and related regulations. A PHA will be considered in compliance with the AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction. (24 CFR §903.7(o))
- B.5 Certification by State or Local Officials. Form HUD-50077-SL, Certification by State or Local Officials of PHA Plans Consistency with the Consolidated Plan, including the manner in which the applicable plan contents are consistent with the Consolidated Plans, must be submitted by the PHA as an electronic attachment to the PHA Plan. (24 CFR §903.15)
- **B.6 Progress Report.** For all Annual Plans following submission of the first Annual Plan, a PHA must include a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year PHA Plan. (24 CFR §903.11(c)(3), 24 CFR §903.7(r)(1))
- **B.7 Resident Advisory Board (RAB) comments**. If the RAB provided comments to the annual plan, mark "yes," submit the comments as an attachment to the Plan and describe the analysis of the comments and the PHA's decision made on these recommendations. (24 CFR §903.13(c), 24 CFR §903.19)

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced the Annual PHA Plan. The Annual PHA Plan provides a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public for serving the needs of low- income, very low- income, and extremely low- income families.

Public reporting burden for this information collection is estimated to average 4.5 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requised in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12 (core of Federal Regulation). Reponses to the color tion of information are required to obtain a benefit or to retain a benefit. The information requested does not lend test to confidentiality

Certifications of Compliance with PHA Plans and Related Regulations (Standard, Troubled, HCV-Only, and High Performer PHAs)

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 02/29/2016

PHA Certifications of Compliance with the PHA Plan and Related Regulations including Required Civil Rights Certifications

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the _____ 5-Year and/or ____ Annual PHA Plan for the PHA fiscal year beginning ____ 2021 ___, hereinafter referred to as" the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

- 1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
- 2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of (n Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA's preparation of the part of the PHA's jurisdiction and a description of the manner in which the PHA's plan is consistent with the applicable Consolidated Plan.
- The PHA has established a Resident Advisory Board on Boards, the mem was lip of which represents the residents assisted by the PHA, consulted with this Resident Advisory E can for Boards in diveloping the Plan, including any changes or revisions to the policies and programs identified in the Plan before they were inclemented, and considered the recommendations of the RAB (24 CFR 903.13). The PHA has included in the Plan submission a copy of the ecommendations made by the Resident Advisory Board or Boards and a description on the manner in which the Plan and assess these recommendations.
 The PHA made the proposed Plan and all in a mation relevant to the public leading available for public inspection at least 45
- 4. The PHA made the proposed Plan and all in is mation relevant to the public teating available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
- 5. The PHA certifies that it will corry out the Plan in onformity with Tit. VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Repai ilitation Act of 1973, and title U of the Americans with Disabilities Act of 1990.
- 6. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identifying any impediments to fair housing choice within those programs, a ldressing those impediments in a reasonable fashion in view of the resources available and work with local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PAA's involvement and by maintaining records reflecting these analyses and actions.
- 7. For PHA Plans that includes a policy for site base a wating lists:
 - The PHA regularly submits required da ... to HVD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2010-25);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of a site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a
 pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such a waiting list is consistent with affirmatively furthering fair housing:
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
- 8. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
- 9. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
- 10. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
- 11. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.

- 12. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
- 13. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
- 14. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
- 15. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
- 16. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
- 17. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
- 18. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
- 19. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
- 22. The PHA certifies that it is in compliance with applicable Federal statutory and regulatory requirements, including the Declaration of Trust(s).

Housing Authority of the City of Fort Smith	ARD03
PHA Name	PLA Number/HA Code
X Annual PHA Plan for Fiscal Year 2021 5-Year PHA Plan for Fiscal Years 2010	
I hereby certify that all the information stated herein, as well as any information provided prosecute false claims and statements. Conviction may result in criminal and/or civil possess.	ded in the accompaniment herewith, is true and accurate. Warning: HUD will enalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802).
Name of Authorized Official	Title
Mitchell L. Minnick	Executive Director
Signature	Date
	

Civil Rights Certification (Qualified PHAs)

U.S. Department of Housing and Urban Development

Office of Public and Indian Housing OMB Approval No. 2577-0226 Expires 02/29/2016

Civil Rights Certification

Annual Certification and Board Resolution

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official, I approve the submission of the 5-Year PHA Plan for the PHA of which this document is a part, and make the following certification and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the public housing program of the agency and implementation thereof:

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing by examining their programs or proposed programs, identifying any impediments to fair housing choice within those program, addressing those impediments in a reasonable fashion it view of the resources available and working with local jurisdictions to implement any of the jurisdiction's initiatives to affirm to by further fair housing that require the PHA's involvement and by maintaining records redecting these analyses and actions.

Housing Authority of the City of Fort Smith	AR003
PHA Name	PHA Number/HA Code
I hereby certify that all the information stated herein, as well as any information provice prosecute false claims and statements. Conviction may result in criminal and/or civil p	
Name of Authorized Official	Title
Mitchell L. Minnick	Executive Director
Signature	Date

Certification by State or Local Official of PHA Plans Consistency with the Consolidated Plan or State Consolidated Plan (All PHAs)

U. S Department of Housing and Urban Development

Office of Public and Indian Housing
OMB No. 2577-0226
Expires 2/29/2016

Certification by State or Local Official of PHA Plans Consistency with the Consolidated Plan or State Consolidated Plan

I,	Matt Jennings	, the	Director of Community Development
	Official's Name		Official's Title
certify t	hat the 5-Year PHA Plan ar	nd/or Annual PH	A Plan of the
	Housir	ng Authority of the City	of Fort Smith
		PHA Name	•
is consis	tent with the Consolidated Pla	an or State Conso	lidated Pict and the Analysis of
Impedin	nents (AI) to Fair Housing Ch	oice of the	
pursuant	to 24 CFR Part 91.	Y Social desired	sdiction Nare
	a description of yow the PHA lated Plan and the AI.	Plan is consisten	with the Consolidated Plan or State
PHA offers a	ssistance, through its Housing Choice Verme	er program. the elderly,	disabled, low-income, homeless, and veterans. In addition to
operating the	HCV program for the City of For. Smith, the I	PHA also partners with Cr	awford-Sebastian Community Development Council both to
rehabilitate e	xisting homes and to construct new homes	sing C mmunity Developm	nent Block Grant and HOME funds.
The PHA has	s also partnered locally with Next Step Home	s Services to construct t	transitional housing for homeless families.
			e accompaniment herewith, is true and accurate. Warning: HUD will (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)
Name of Author	orized Official Matt Jenning	IS	Title Director of Community Development
Signature			Date
			i e